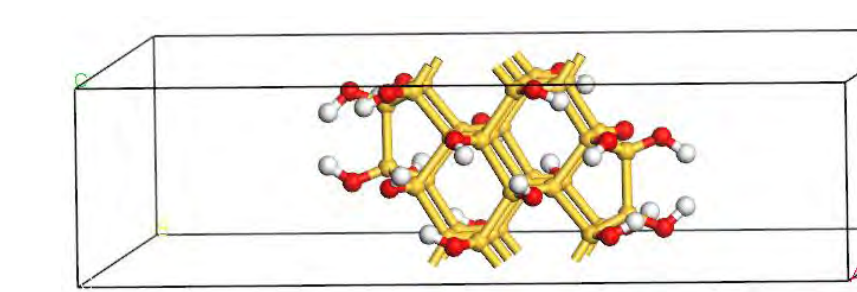
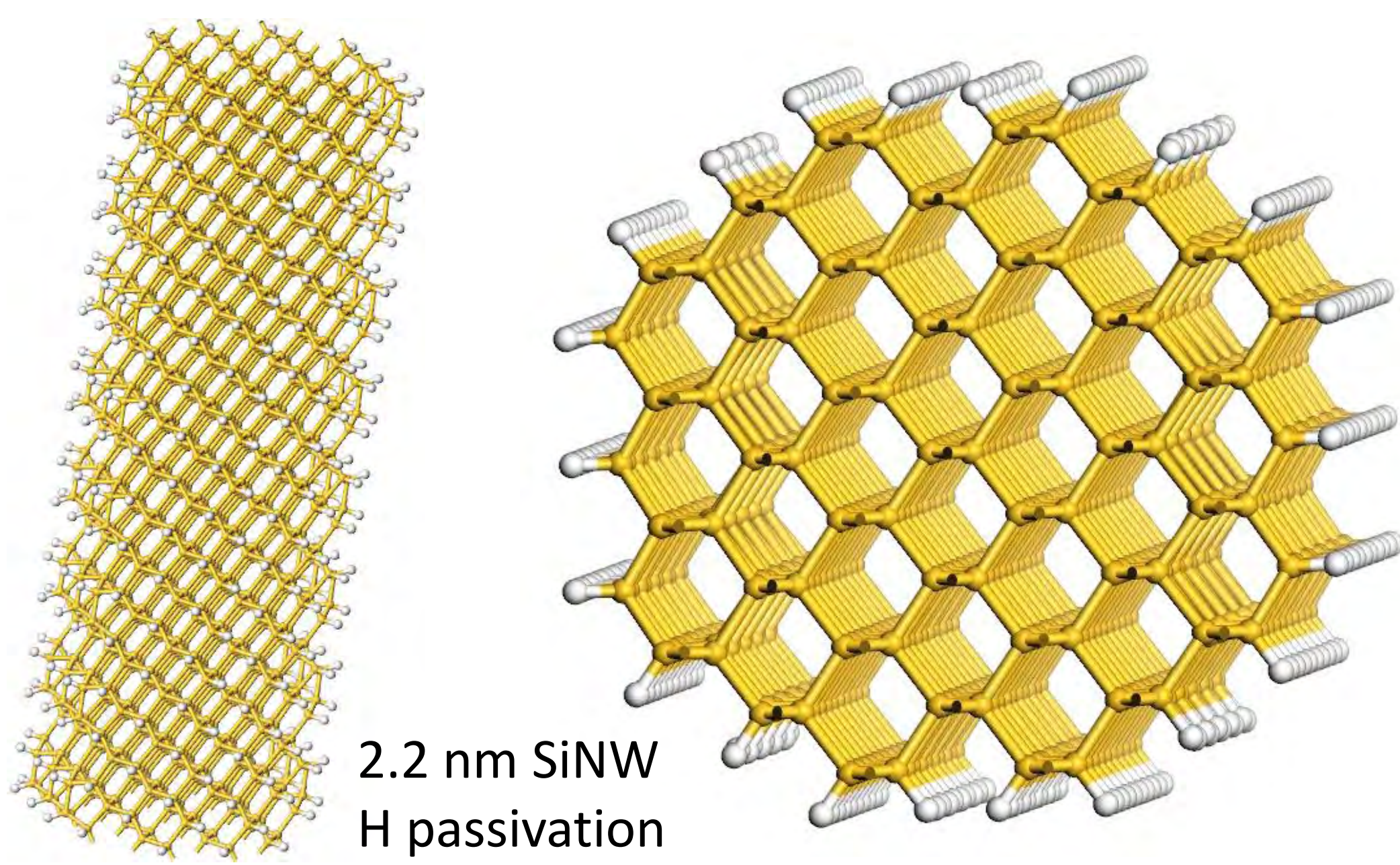


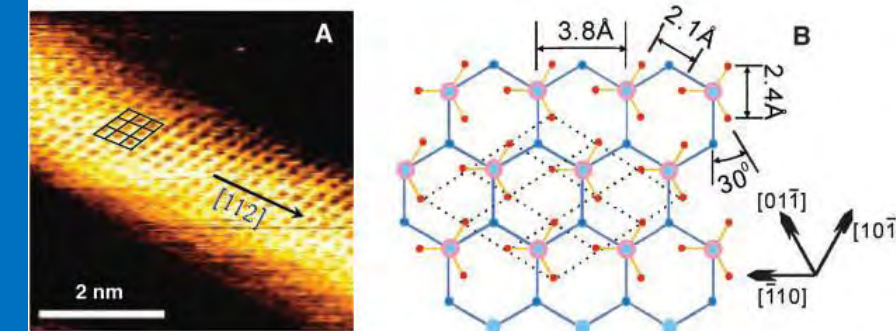
# Functionalization of <110> Oriented Silicon Quantum Wires

R. Lochner, H. Li, Z. Wu, M. T. Lusk

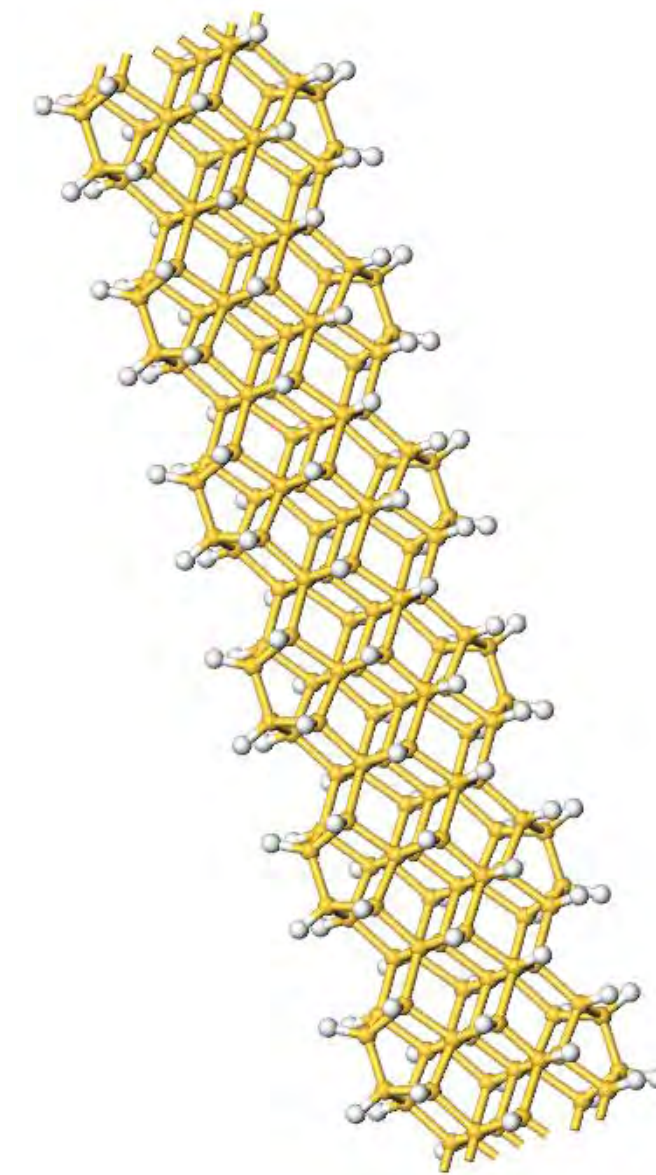


## Silicon Nanowires (SiNW)

- Cylindrical crystals of diamond structured silicon
- Length  $\gg$  Diameter
- $\sim$ 1-100 nm in diameter
- <110> direction dominates (for wires < 10 nm –Wu et al.)
- Smallest wire: 1.3 nm diameter (Ma et al.)
- Electronic properties vary with
  - growth orientation
  - surface restructuring
  - attached ligands
- Prospects good for use in future nanoelectronics and PV devices

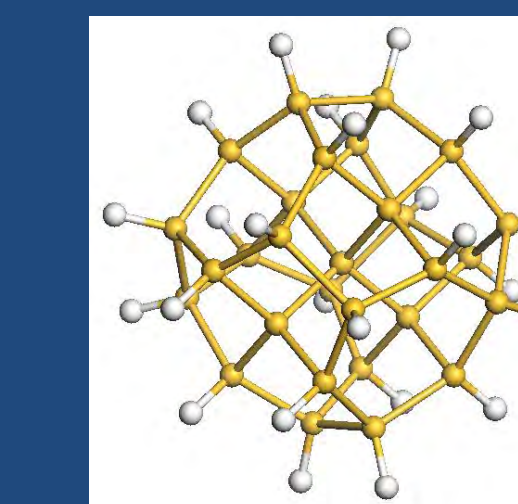
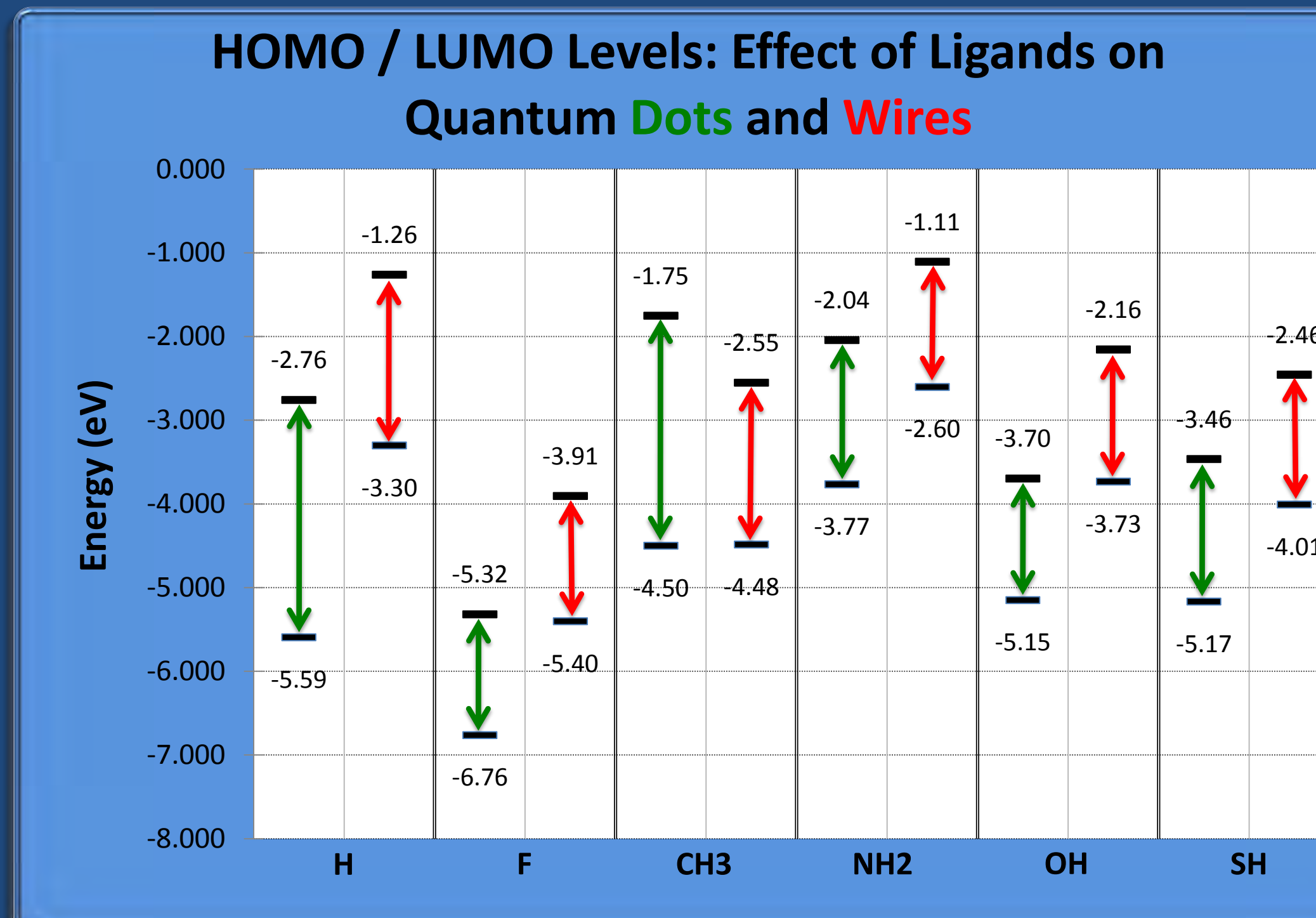


STM Image from Wu et al.



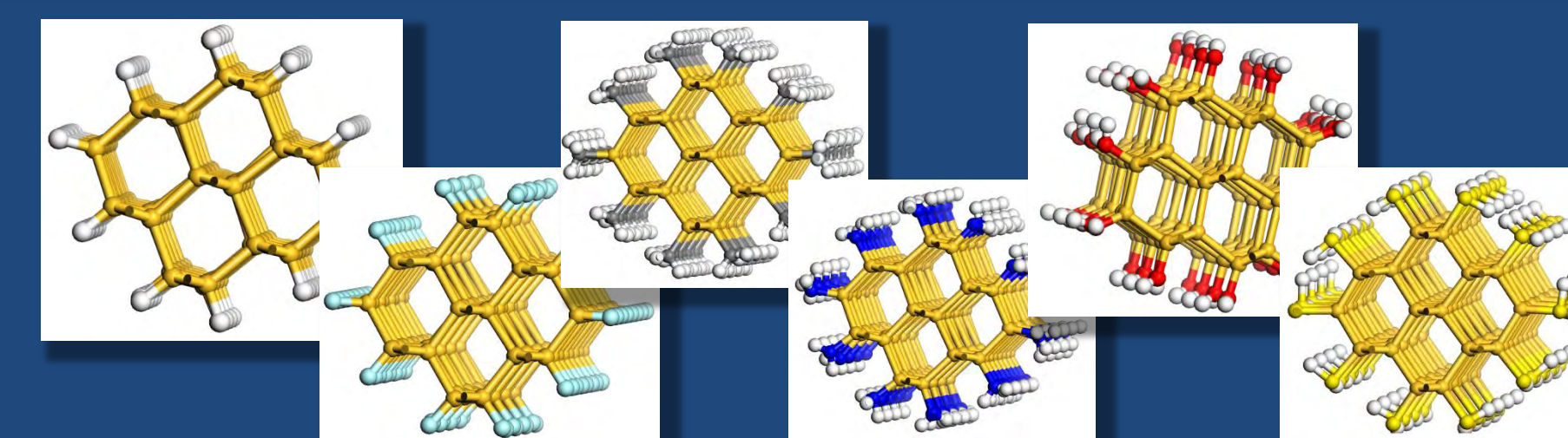
## Effect of Ligands on Band Gap

- Ligands studied: H, NH<sub>2</sub>, CH<sub>3</sub>, SH, F, OH
- Same ligands investigated on Si quantum dots
- How does geometry influence role of ligand?



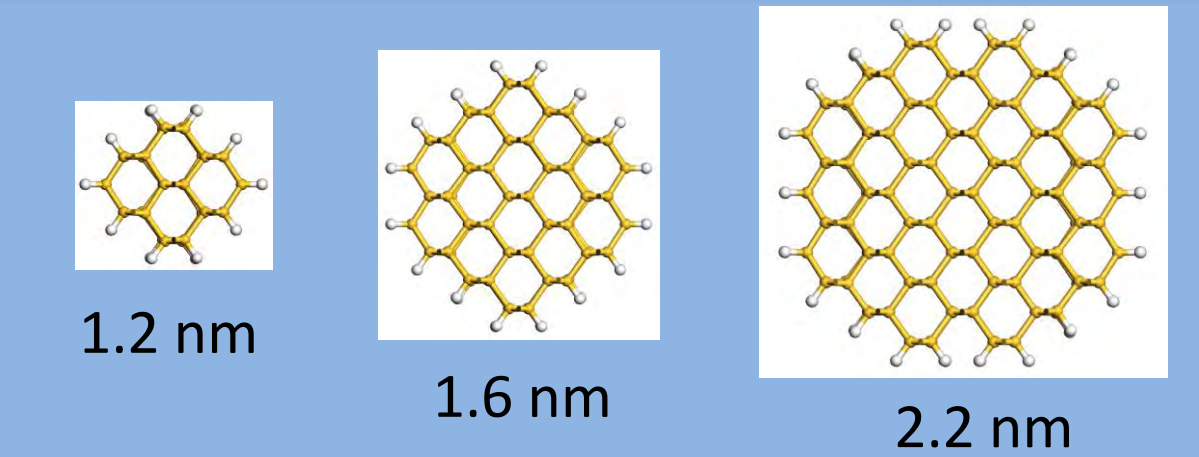
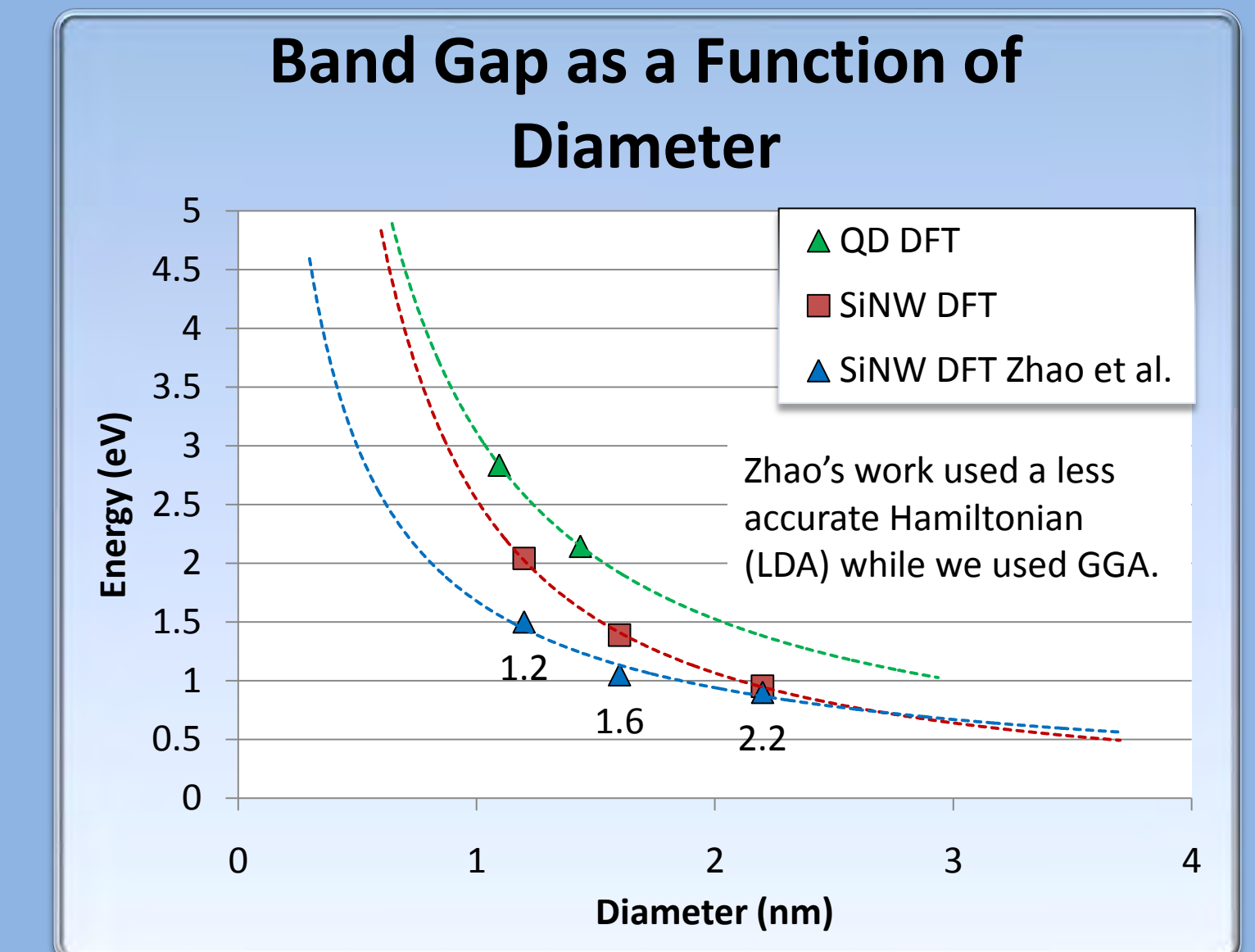
- Sizes:
  - dot:  $\sim$ 1.0 nm
  - wire  $\sim$ 1.2 nm
- 3-D v. 2-D quantum confinement
  - lower band gap for wire
  - HOMO/LUMO levels lower for wires

- Passivation trends same for dots and wires
  - steric effects, surface Si electronic structure, confinement cause differences



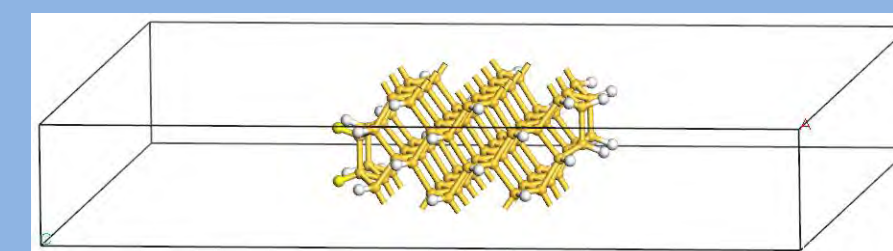
## Quantum Confinement

- Excitons (electron-hole pairs) are confined when structures approach exciton Bohr radius
  - 4.9 nm for Si
- Excited state energies therefore increase as size decreases
  - optical band gap increases
- Wires (2 small dims) are less confining than dots (3 small dims)
  - effect less severe for wires
- Band gap of larger wires should approach that of bulk Si
  - DFT predicts gap of bulk Si  $\sim$  7 eV
  - actual value 1.1 eV



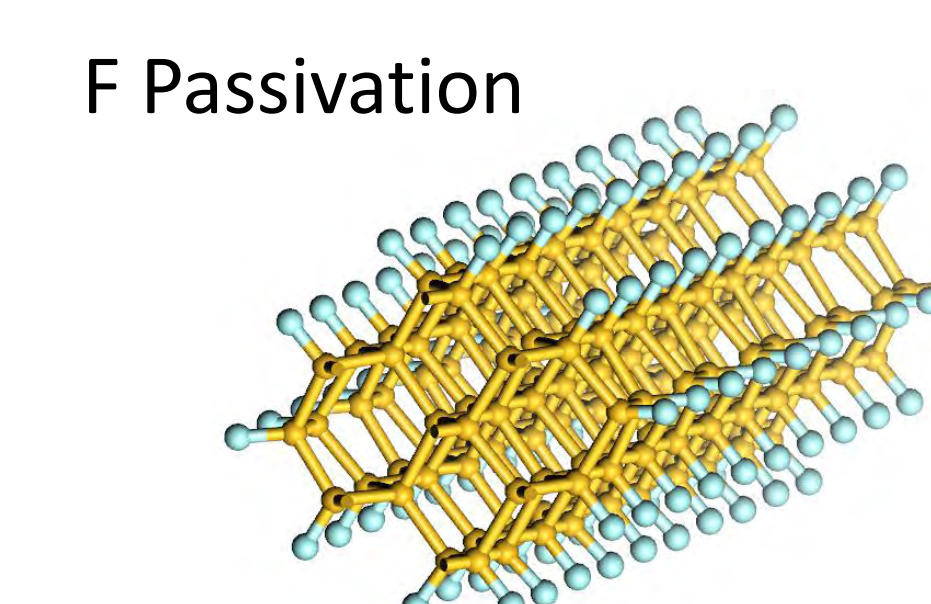
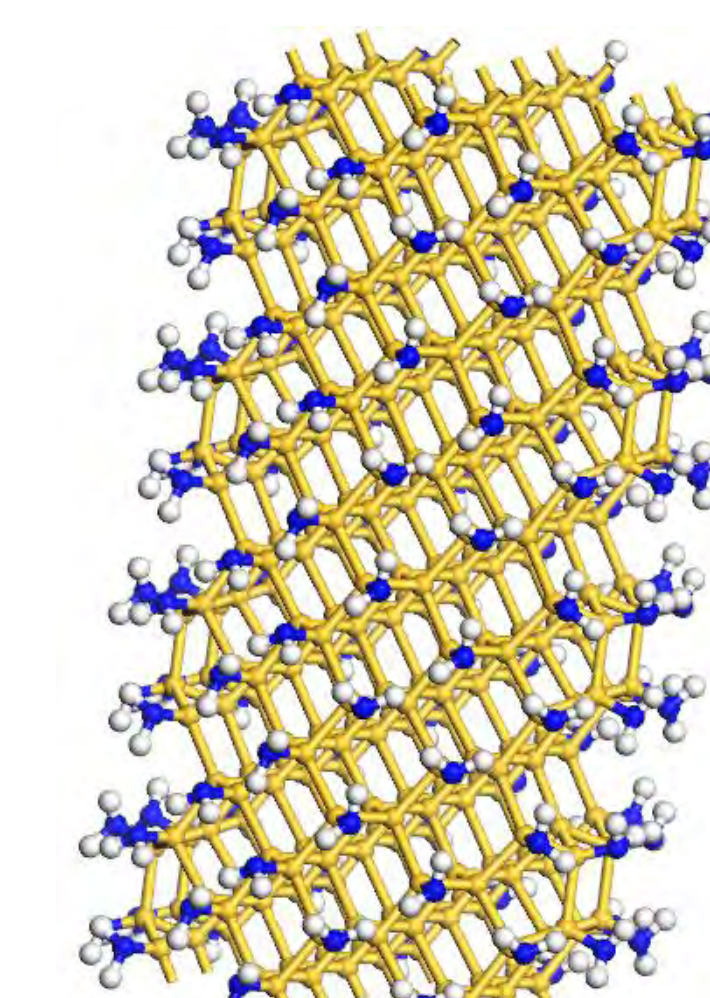
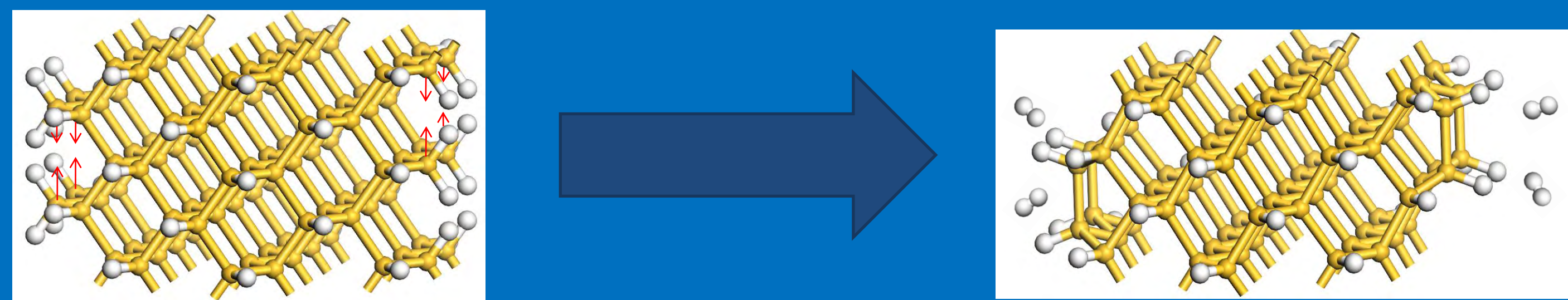
## Density Functional Theory (DFT)

- Hamiltonian a functional of electron density
- Ground state properties all functionals of electron density
- Electron density obtained by solving N equations for N electrons instead of solving a single, high dimensional Schrodinger equation
- Initial guess for electron density seeds Hamiltonian and equations solved for improved electron density; repeat until self-consistent
- Provides qualitative excited state properties; Known to underestimate the band gap of Si
- More accurate methods needed for quantitative prediction of excited state properties



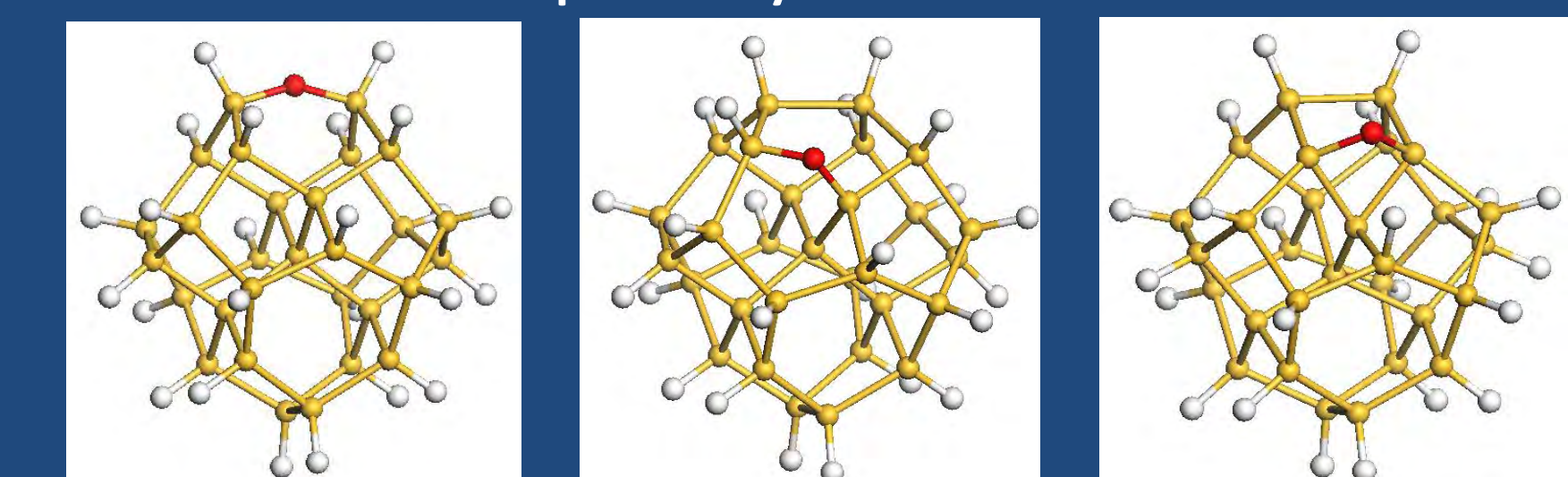
## Surface Reconstruction

- Pristine wires, that is wires of pure Silicon, have many dangling bonds on the wire surface
- Surface may be *passivated* by adding ligands such as Hydrogen
- Most facets of <110> wires have only one ligand per surface Si atom
- Would have di-hydride passivation on {001} facets, but reconstruction of Si results in mono-hydride bonding
- Reconstruction occurs when adjacent surface Si atoms, each with 2 ligands, give up one ligand in order to bond to each other, forming a surface Si *dimer*
- Dimers form next to each other as opposed to an alternating pattern
- For the 1.2 nm wire the unit cell energy is lowered by 0.5 eV after reconstruction

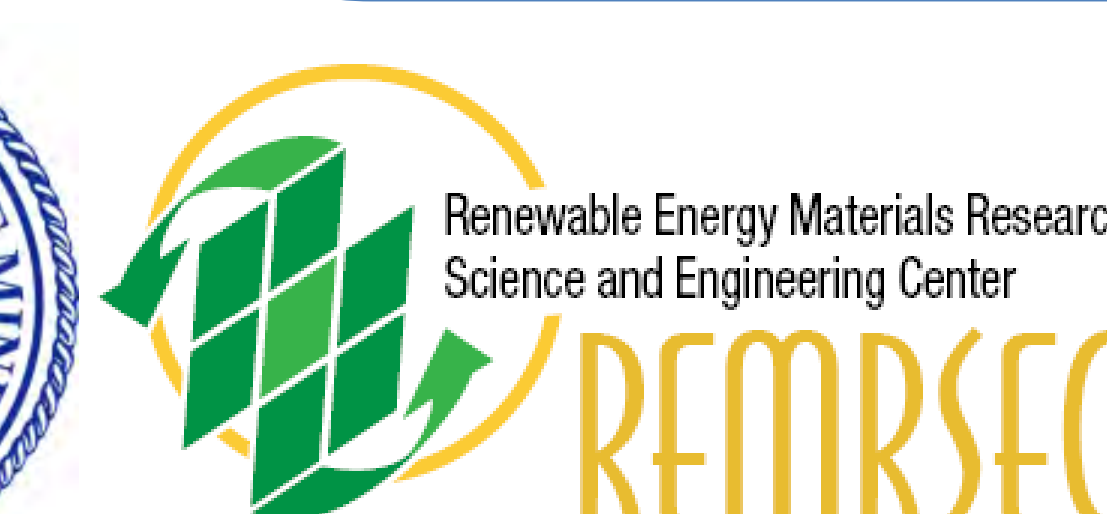


## Future Work

- Identify ligands which prevent oxidation of SiNW's
  - investigate <110> wires with di-hydride surfaces that cannot reconstruct and determine to what extent they can be passivated
- Use ligands to tune optical response of wires
- Use ligands to improve energy/charge transfer to/from wires
- Functionalization with optically active molecules



Oxygen atoms (red) bond to dot surfaces.  
How does geometry influence this process for wires?



### References:

Yue Wu, Yi Cui, Lynn Huynh, Carl J. Barrelet, David C. Bell, & Charles M. Lieber 2004 Vol. 4 No.3 433-436 **Controlled Growth and Structures of Molecular-Scale Silicon Nanowires**  
 X. Y. Zhao, C. M. Wei, L. Yang, M. Y. Chou, Phys. Rev. Lett. 2004, 92, 236805. **Quantum Confinement and Electronic Properties of Silicon Nanowires**  
 D. D. Ma, C. S. Lee, F. C. K. Au, S. Y. Tong, S. T. Lee 3/21/2003, SCIENCE 299 (5614), 1874